REPORTING GUIDANCE – COVID LABORATORY RESULTS

DEVELOPED BY:
Lunden Espinosa

03/16/2022
Overview: COVID Reporting Requirements

- CDC continues to require every COVID-19 testing site to report diagnostic and screening tests performed to detect SARS-CoV-2 or to diagnose a possible case of COVID-19 to the appropriate state or local public health department, based on the individual’s residence.
  - New guidance effective April 4, 2022 will no longer require reporting of NEGATIVE results for non-NAAT tests (e.g., rapid, or antigen test results).
  - This includes rapid and antigen testing conducted for screening at schools, correctional facilities, and rapid testing performed in pharmacies, medical provider offices, and drive-through and pop-up testing sites.

- All testing sites must report diagnostics and screening tests within 24 hours of test completion to the appropriate state or local public health department.

- Guidance from Department of Health and Human Services (HHS) continues to require facilities and ordering providers to gather more complete patient demographic information. These data elements should be reported for all COVID laboratory testing reports sent to the appropriate state or local public health department. Please read Technical Guidance for changes in data requirements for up-to-date guidelines.
  - Technical Guidance for ELR Submission for New Data Element Requirements: https://confluence.hl7.org/display/OO/proposed+HHS+ELR+Submission+Guidance+using+HL7+v2+messages
COVID Laboratory Reporting Update

• On March 8, HHS and CDC announced long-planned revisions to HHS COVID-19 laboratory reporting guidance, effective April 4, 2022.

What is the original guidance?

• The original guidance requires the reporting of ALL results (POSITIVE and NEGATIVE) from ALL COVID-19 tests (except home use tests).
• This includes results from Nucleic Acid Amplification Tests (NAAT) like RT-PCR, rapid and antigen tests, and antibody tests.

What is changing with the updated guidance?

• The new guidance will no longer require reporting of NEGATIVE results for non-NAAT tests (e.g., rapid, or antigen test results).
• This includes rapid and antigen testing conducted for screening testing at schools, correctional facilities, employee testing programs, long-term care facilities, and rapid testing performed in pharmacies, medical provider offices, and drive-through and pop-up testing sites.
• It will no longer require reporting of antibody test results, positive or negative.

*Subject to Change
Please see Technical Guidance:
https://confluence.hl7.org/display/OO/proposed+HHS+ELR+Submission+Guidance+using+HL7+v2+messages
COVID Laboratory Reporting Update cont.

What is not changing?

• The new guidance still requires the reporting of ALL POSITIVE results for all test types (except home tests and antibody tests).
• It still requires reporting of both POSITIVE and NEGATIVE results from NAAT tests (e.g., RT-PCR).

What else do we need to know?

• We’re making this change because negative test results from antigen and rapid tests are often unevenly reported and don’t inform decision making and are very burdensome for testing entities to report and jurisdictions to receive.
• Making reporting of negative rapid and antigen test results optional will alleviate some burden on jurisdictions and testing entities.
• This change won’t affect percent positivity metrics, which is calculated using PCR and other nucleic acid amplification tests.
• This HHS guidance is the floor for reporting, not the ceiling; states, territories, local, and Tribal health departments can set additional reporting requirements for their jurisdictions.

*Subject to Change

Please see Technical Guidance: https://confluence.hl7.org/display/OO/proposed+HHS+ELR+Submission+Guidance+using+HL7+v2+messages
COVID Laboratory Data Reporting

**Complete Lab Data Must Include:**
- Test Ordered
- Device Identifier
- Test Result
- Test Result Date
- Accession # / Specimen ID
- Patient Age
- Patient Race
- Patient Ethnicity
- Patient Sex
- Patient Residence ZIP Code
- Patient Residence County
- Ordering Provider Name and NPI
- Ordering provider ZIP
- Performing Facility Name and CLIA number
- Performing Facility ZIP Code
- Specimen Source
- Date Test Ordered
- Date Specimen Collected

**Complete Lab Data Must Include for the State of Indiana:**
- Patient First Name
- Patient Middle Name
- Patient Last Name
- Patient Phone Number with Area Code
- Patient DOB
- Ordering Provider Address
- Ordering Provider Phone Number

**HHS “Ask on Entry” Data Should Include:**
- First Test Y/N/U
- Employed in healthcare Y/N/U
- Symptomatic as defined by CDC? Y/N/U
- If yes, then Date of Symptom Onset mm/dd/yy
- Hospitalized? Y/N/U
- ICU? Y/N/U
- Resident in a congregate care setting (including nursing homes, residential care for people with intellectual and developmental disabilities, psychiatric treatment facilities, group homes, board and care homes, homeless shelter, foster care or other setting) (Y/N/U)
- Pregnant? Y/N/U

*Subject to Change

Please see Technical Guidance: [https://confluence.hl7.org/display/OO/proposed+HHS+ELR+Submission+Guidance+using+HL7+v2+messages](https://confluence.hl7.org/display/OO/proposed+HHS+ELR+Submission+Guidance+using+HL7+v2+messages)
Reporting Options for COVID-19 Results

• Three methods in which testing sites can submit COVID-19 lab results to Indiana Department of Health

  1. **Electronic Laboratory Reporting (ELR)** – automated messaging of lab reports sent using one or more electronic mechanisms. ELR improves the quality of laboratory results received by public health. Indiana Department of Health offers **two methods of ELR onboarding**.

  2. **REDCap “COVID-19 Point-of-Care Test Reporting – Indiana Department of Health” manual entry form** – User access designated to testing sites with **Point-of-Care testing (POC)**

  3. **Fax** complete laboratory reports to 317-234-2812

• Indiana Department of Health has been working with testing sites to determine the best method for your facility to report all COVID-19 lab results. It is our goal to provide your testing site with a secure means for efficient reporting.
# COVID Lab Reporting: Facility Infrastructure

Consider the infrastructure of your testing site(s)

1. **What types of tests tools are used to perform COVID-19 test?**
   - Send out/Panel
   - Point of Care (POC)
   - Other

2. **How is reporting currently being done?**
   - Are the results faxed to Indiana Department of Health?
   - Are they submitted directly in NBS application?
   - Is there an existing electronic reporting feed with our electronic health records vendor?
   - Are we a Long-term care or nursing home facility?
   - Do we provide inpatient/outpatient testing?
   - Is another facility/laboratory submitting this data on our behalf?

3. **What is the volume or anticipated volume?**

4. **Who are the partners in which testing is provided?**
   - This can include LTC facilities, hospitals, school/universities, and other labs

5. **Does our IT system support electronic laboratory reporting?**
   - CSV flat file vs HL7 integration

---

Please follow the “COVID Lab Reporting Methods” Flowchart for reporting guidance
COVID Lab Reporting Methods

Are you a LTC or POC testing facility?

Yes: Your testing site(s) may be eligible for ELR of COVID results. Please email Irene Jameson at IJameson@isdh.IN.gov.

No: Your testing site(s) may be eligible for user access to REDCap form. Please email James Sainsbury at JSainsbury@isdh.in.gov or Lunden Espinosa at LEspinosa@isdh.in.gov for onboarding.

Are you currently reporting COVID results in REDCap Form?

Yes: Please continue method of reporting.

No: Your testing site(s) may be eligible for user access to REDCap form. Please email James Sainsbury at JSainsbury@isdh.in.gov or Lunden Espinosa at LEspinosa@isdh.in.gov for onboarding.

Does your IT system support electronic laboratory reporting? That could include an electronic health records system and/or IT team capacity.

Yes: Your testing site(s) may be eligible for user access to REDCap form. Please email James Sainsbury at JSainsbury@isdh.in.gov or Lunden Espinosa at LEspinosa@isdh.in.gov for onboarding.

No: Please continue current method of reporting.

Does your volume exceed 25 COVID-19 tests a week?

Yes: Your testing site(s) may be eligible for ELR of COVID results. Please email Irene Jameson at IJameson@isdh.IN.gov.

No: Please continue current method of reporting.
Electronic Laboratory Reporting: Two Options

Comma-separated value (CSV) Implementation
• Pipe-delimited flat file format
• Contains minimum reporting data field requirements. *Excludes AOE data fields
• Simple, quick format
• Submitted via SFTP
• Improves timeliness, accuracy, consistency, and completeness of lab and clinical data

Health Level 7 (HL7) Implementation
• IDOH “Gold standard” preferred method
• For larger lab facilities
• Contains all reporting data field requirements including HHS/CDC
• Requires mapping of LOINC/SNOMED coding systems prior to HL7 implementation
• Submitted via SFTP
• Improves timeliness, accuracy, consistency, and completeness of lab and clinical data
Electronic Laboratory Reporting: Implementation Process

• **Prior** to establishing electronic lab reporting, please contact Indiana Department of Health (IDOH) and coordinate electronic file transfer efforts to ensure that complete lab data fields are tested and successfully submitted into our national surveillance system.

• Until the ELR process is complete, please **fax only positive Indiana COVID19** lab test results to Indiana Department of Health at 317-234-2812.

• Confirm that all required data elements listed in the “**COVID Laboratory Data Reporting**” section are included in the lab reports.

• Once successfully onboarded to submit COVID-19 (SARS-CoV-2) results electronically to IDOH, it will be required that all onboarded labs work with IDOH to report timely, rectify any data quality issues, and modify any data field requirements in **accordance with state/federal guidelines**.
Wrap Up

- **ELR implementation** is ideal method of reporting COVID19 results, which is vital in our public health surveillance and preparedness efforts.

- **COVID-19 Point-of-Care Test Reporting – Indiana Department of Health REDCap form** allows all sites using point-of-care testing to easily report all results to IDOH and will ensure results are included in surveillance data.

- Facilities already reporting point-of-care testing results through electronic laboratory feeds do not need to report via the **COVID-19 Point of Care Test Reporting - Indiana Department of Health REDCap form**.
Questions?

Have you reviewed the COVID Lab Reporting Method flowchart and still unsure of the best reporting method for your organization?

Please email James Sainsbury at JSainsbury@isdh.in.gov, Lunden Espinosa at LEspinosa@isdh.in.gov, or Catherine McQuern at CMcquern@isdh.IN.gov and we will assist you in determining the best method of reporting COVID-19 lab results.